

October 2006

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newsletter

FSA[®] 

This newsletter provides a summary of CP06/18. Please see the section ‘Who should read this paper?’ to see if CP06/18 is relevant to you.

Financial Services Authority

Quarterly consultation

(No. 10)

Why are we issuing this QCP?

Every three months we issue a Quarterly Consultation Paper (QCP) on the minor changes we propose making to our rules. This QCP invites comments on miscellaneous amendments to the Handbook. It proposes amendments to the:

- *Glossary of definitions;*
- *Insurance: Conduct of Business sourcebook;*
- *Interim Prudential sourcebook for Insurers;*
- *Conduct of Business sourcebook; and*
- *New Collective Investment Schemes sourcebook.*

Who should read this paper?

The proposed amendments in Chapters 2, 3 and 4 may be of particular interest to retail consumers and consumer groups.

The remaining amendments are largely technical amendments, clarifications and corrections and are, on the whole, unlikely to have a direct impact on consumers.

How is the paper set out?

We describe each amendment in a separate chapter. The chapters include an explanation of the proposed amendment, a statement of purpose, a cost benefit analysis (if necessary) and a compatibility statement. The appendices to the CP contain the actual text of the proposed amendments.

What happens next?

The deadline for comments on these amendments is 6 December 2006.

The Financial Services Authority invites comments on this Consultation Paper.

Comments on the proposed amendments should reach us by 6 December 2006.

You can submit your comments electronically using the form on our website (at www.fsa.gov.uk/pubs/cp/cp06_18_response.html).

Or you can respond by email: cp06_18@fsa.gov.uk

If you wish to respond by letter, please send your comments to the person named at the end of each chapter and set out below:

Chapter 2:	Jenny Frost	Tel: 020 7066 3134 or Fax: 020 7066 3135
Chapter 3:	Sarah Hatcher	Tel: 020 7066 9012 or Fax: 020 7066 9716
Chapter 4:	James Hopegood	Tel: 020 7066 3706 or Fax: 020 7066 3707
Chapter 5:	Christopher Preston	Tel: 020 7066 5120 or Fax: 020 7066 5121
Chapter 6:	Mark Glibbery	Tel: 020 7066 0594 or Fax: 020 7066 0595
Chapter 7:	Jill O'Hara	Tel: 020 7066 7062 or Fax: 020 7066 7063

If you are responding in writing to several chapters, please send your comments to Anthony Clarke in General Counsel's Division, who will pass your response on as appropriate.

All responses to the above people should be sent to:

Financial Services Authority
25 The North Colonnade
Canary Wharf
London E14 5HS

It is our policy to make all responses to formal consultation available for public inspection, unless the respondent requests otherwise. A standard confidentiality statement in an email message will not be regarded as a request for non-disclosure.

How can I get the full paper?

You can download the full Consultation Paper from:

www.fsa.gov.uk/pages/Library/Policy/CP/2006/06_18.shtml

Or you can order hard copies of the paper:

Phone our helpline on **0845 608 2372**, quoting reference **CP06/18**

Order online at: <http://www.fsa-ec.com/>

Copies are available at **£10** each. Please allow five working days for delivery.

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