

## **ALERT**

We (the Financial Services Authority – FSA) have published this statement today in order to warn investors against dealing with unauthorised firms.

This statement is to advise members of the public that an organisation identifying itself to UK individuals as:

### **Richard Davies Investors Limited**

Marlborough Court  
14–18 Holborn  
London EC1N 2LE

Tel: 0044 (702) 407 0216

Website: [www.rdirl.com](http://www.rdirl.com)

### **is not authorised under the Financial Services and Markets Act 2000**

(FSMA) to carry on a regulated activity in the UK. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ('investments' include stocks and shares). We believe that this organisation may be targeting UK customers.

**The entity named above is using the details of a UK firm. The firm given below has no association whatsoever with the organisation discussed above identifying itself as Richard Davies Investors Limited:**

- **Richard Davies Investor Relations Limited**

*UK Companies House Number: 04557486*

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**In addition the entity Richard Davies Investors Limited is using the content and design of a website of an FSA authorised firm. The firm given below has no association whatsoever with the entity discussed above identifying itself as Richard Davies Investors Limited:**

▪ **Scott Harris UK Limited**

*FSA Firm Reference: 458416*

*FSA Current Status: authorised*

*UK Companies House Number: 03158547*

**What does this mean for you?**

If you are an investor, you should be aware that the **Financial Ombudsman Service** and the **Financial Services Compensation Scheme** are not available if you deal with an unauthorised company or individual.

**How can you check whether a firm is authorised?**

To find out whether a company or individual is authorised, go to the FSA Register Check Service at <http://www.fsa.gov.uk/Pages/register/>.

Date: 19 July 2011

**Note:** For an up-to-date list of unauthorised firms issued, please visit:

[www.fsa.gov.uk/warning](http://www.fsa.gov.uk/warning)

For further information on unauthorised firms targeting UK investors see the press release issued on 6 June 2006 at:

[www.fsa.gov.uk/pages/Library/Communication/PR/2006/053.shtml](http://www.fsa.gov.uk/pages/Library/Communication/PR/2006/053.shtml)



## Financial Services Authority

For more details about the tactics that are commonly adopted by unauthorised firms targeting UK investors see the warning issued in April 2005 available at:

[www.fsa.gov.uk/pages/Library/Communication/PR/2005/039.shtml](http://www.fsa.gov.uk/pages/Library/Communication/PR/2005/039.shtml)

The Shares Investments Scam leaflet updated in October 2010 available at:

[http://www.fsa.gov.uk/pubs/other/scams\\_leaflet.pdf](http://www.fsa.gov.uk/pubs/other/scams_leaflet.pdf)