

## ALERT

The Financial Services Authority (FSA) has today published this statement in order to warn investors against dealing with unauthorised firms.

This statement is to advise members of the public that an organisation identifying itself as

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is not authorised under the Financial Services and Markets Act 2000 (FSMA) to carry on a regulated activity or promote them in the UK. Regulated activities include, amongst other things, dealing in investments as principal, advising on, arranging deals in and managing investments ("investments" include rights under a personal pension plan). The FSA believes that the firm may be targeting UK customers.

Investors should be aware that **the Financial Ombudsman Service and the Financial Services Compensation Scheme are not available** if you deal with an unauthorised company or individual

To find out whether a company or individual is authorised go to our Register of authorised firms and individuals at <http://www.fsa.gov.uk/register/home.do>

Date: 21 October 2011

**Note:** For an up-to-date list of unauthorised firms issued by the FSA go to <http://www.fsa.gov.uk/Pages/Doing/Regulated/Law/Alerts/index.shtml>

For further information concerning unauthorised firms targeting UK investors see the press release issued on 6 June 2006 at

<http://www.fsa.gov.uk/pages/Library/Communication/PR/2006/053.shtml>

For more details about the tactics that are commonly adopted by unauthorised firms targeting UK investors see the warning issued in April 2005 available at

<http://www.fsa.gov.uk/pages/Library/Communication/PR/2005/039.shtml>

The Share Investments Scam leaflet issued in October 2004 available at

[http://www.moneymadeclear.fsa.gov.uk/pdfs/share\\_scams.pdf](http://www.moneymadeclear.fsa.gov.uk/pdfs/share_scams.pdf)